SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287							
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	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Fitzgerald Leanne		uer Name and Tick <mark>ence Inc.</mark> [CF	0	Symbol	(Check	tionship of Reporti all applicable) Director Officer (give title	10% 0	
(Last) (First) (Middle) 15 WAYSIDE ROAD		te of Earliest Trans 0/2020	action (Month/	'Day/Year)	Х	below)	below I Counsel	
(Street)	4. If <i>F</i>	Amendment, Date o	f Original Filed	d (Month/Day/Year)	6. Indiv Line)	idual or Joint/Grou	p Filing (Check	Applicable
BURLINGTON MA 01803					X	Form filed by On Form filed by Mo	1 0	
(City) (State) (Zip)						Person	ie inan one ke	porting
Table I - No	on-Derivative S	Securities Acq	uired, Dis	posed of, or Benef	icially	Owned		
1. Title of Security (Instr. 3)	2. Transaction Date	2A. Deemed Execution Date,	3. Transaction	4. Securities Acquired (A Disposed Of (D) (Instr. 3,		5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect

	(Month/Day/Year)	if any (Month/Day/Year)	Code (Instr. 8)			(D) (IIISti	. 5, 4 anu	Beneficially Owned Following Reported	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	09/30/2020		A		630 ⁽¹⁾	Α	\$20.66	49,789	D	
Common Stock	09/30/2020		F		561 ⁽²⁾	D	\$48.87	49,228	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Exp		6. Date Exerc Expiration Da (Month/Day/\	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Shares purchased pusuant to the Cerence Inc Employee Stock Purchase Plan ("ESPP") on 8/14/2020

2. These shares were withheld by the company to cover the tax liability due upon the vest of the restricted stock award

<u>/s/ Leanne Fitzgerald attorney-</u> <u>10/01/2020</u>

<u>in-fact</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.