SEC Form 4

FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287							
Estimated average burden							
hours per response	: 0.5						

to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934												Estimated average burden hours per response: 0.5						
1. Name and Address of Reporting Person* Dhawan Sanjay				or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol <u>Cerence Inc.</u> [CRNC]							5. Relationship of Reporting Person(s) to Is: (Check all applicable) X Director 10% Ow							
(Last) (First) (Middle) 15 WAYSIDE ROAD				3. Date of Earliest Transaction (Month/Day/Year) 07/07/2021							X Officer (give title Other (specify below) Chief Executive Officer							
(Street) BURLIN (City)	NGTON M.	-	1803 Zip)		4. If Amendment, Date of Original Filed (Month/Day/Year)								ne) X Form Form	,				
		Table	I - No	on-Deriva	tive S	Secu	rities Ac	quire	d, Dis	sposed of	, or Be	nefici	ally Own	ed				
1. Title of Security (Instr. 3) 2. Transacti Date (Month/Day)			Execution Date,		tion Date,			s Acquired (A) or of (D) (Instr. 3, 4 an		d 5) Securities Beneficially Owned Followir Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)				
					Code	v	Amount	(A) or (D)	Price	Transa	iction(s) 3 and 4)			(
Common Stock 07/07/20					.021			F		13,945 ⁽¹⁾	D	\$124	.56 28	286,759		D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
Security or Exercise (Month/Day/Year) if any		eemed Ition Date, h/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)			Amount of De Securities Se		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownershi (Instr. 4)			

Explanation of Responses:

1. Represents the number of shares required to be sold by the Reporting Person to cover tax withholding obligations in connection with the vesting of RSUs. This sale is mandated by the Issuer's election under its equity incentive plan to require the satisfaction of tax withholding obligations to be funded by a "sell to cover" transaction and does not represent a discretionary trade by the Reporting Person.

Date Exercisable

Expiration

Date

Leanne Fitzgerald attorney-in-07/08/2021 fact

of

Shares

Title

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code ١v (A) (D)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.